

THE HIGHLAND COUNCIL

Audit and Scrutiny Committee

Date: 11 June 2009

Agenda Item	
Report No	

Risk Management Update

Report by Depute Chief Executive & Director of Finance

Summary

The purpose of this report is to provide an update to Members as to the progress in managing the Corporate & Cross Cutting Risks (CCCR).

1. Introduction

1.1 At the meeting on 4 December 2008, Members of the Audit & Scrutiny Committee were provided with a report which gave an update on the CCCR. It was stated that a further report would be submitted in six months in order to inform Members as to the progress in managing the CCCR.

2. Update of Corporate & Cross Cutting Risks

2.1 An updated extract from the risk register is attached at Appendix 1 & 2 for Members information. This shows that a total of 10 risks are above the acceptable tolerance line and require to be managed and 12 risks are below the tolerance line and require no additional action at this time. This compares with a total of 9 risks above the line which were previously reported and 8 risks below the line.

The changes above the tolerance line are as follows:

1) Risks which have a revised rating:

CCCR 21 - New Pay & Grading Scheme - A2 to B2
CCCR 1 - Carbon Management Plan - B2 to C2

CCCR 1 has been amended to reflect the implementation of the Carbon Management Plan and a new risk CCCR 29 has been identified for minimising the impact of Climate Change.

2) New Risks:

CCCR 29 - Climate Change

New Risks below the tolerance line are as follows:

CCCR 27 - Corporate Improvement Programme
CCCR 28 - Caithness Heat & Power
CCCR 30 - Best Value 2 Audit
CCCR 31 - Job Evaluation

2.2 The risks are managed through the development of action plans and are fed into overall Service Plans and Quarterly Performance Reviews.

2.3 A further report will be provided in six months time in order to inform Members as to the progress in managing the CCCR.

Recommendation:

Members are requested to note the content of this report with regard to the management of CCCR.

Signature:

Designation: Depute Chief Executive & Director of Finance

Date: 25 May 2009

Ref:

Background Papers

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Appendix 1

Service	Risk No or New Risk	Rating	Above the Line √	Target Risk Score	Service Plan Ref.	Risk Description/Short Name	Owner
Chief Executive's	CCC1	<u>C2</u>	√	D2	3.1	The Council must implement its Carbon management plan in order to meet the targets in SOA2.	Chief Executive
Housing & Property	CCC4	<u>B2</u>	√	B3	Id.22	The Council needs to rationalise its assets effectively such that it can afford to maintain them in good condition and suitable for their required use.	Director of Housing & Property in conjunction with Director of TECS & Assistant Chief Executive
Finance	CCC6	<u>C3</u>		E2	4.8	Effective implementation of procurement strategy.	Head of Procurement
Chief Executive's	CCC7	<u>C3</u>		D3		The Council must maximise the benefits, to the Highlands, of EU Funding. It must maximise the effectiveness of funding levels and relate this to Council priorities.	Chief Executive
Chief Executive's	CCC9	<u>B2</u>	√	D2	5.2	The Council must comply with the requirements of the equalities legislation.	Assistant Chief Executive
Chief Executive's	CCC14	<u>C2</u>	√	D2	10H.1	Business Continuity & Emergency Planning arrangements must be effective.	Chief Executive
Social Work	CCC15	<u>C3</u>		D3	ID9.1, 9.3, 9.5	Council requires to fulfil its Corporate parenting responsibilities.	Director of Social Work
Chief Executive's	CCC16	<u>B3</u>		C3	10I.4	Effective Health and Safety systems and practices must be in place to meet the requirements of legislation.	Assistant Chief Executive
Social Work	CCC17	C2	√	D2	ID2.1	The Council requires to support effective public protection & community safety arrangements in partnership with our Community Planning partners.	Director of Social Work
Planning & Development	CCC19	<u>C3</u>		E3	2.3.6	Level of developer's contributions may not meet Council requirements.	Director of Planning & Development
Planning & Development	CCC20	<u>C3</u>		E4	2.3.1 & 2.3.2	The Council requires to meet statutory Development Plan preparation targets (including supplementary planning guidance).	Director of Planning & Development

Service	Risk No or New Risk	Rating	Above the Line √	Target Risk Score	Service Plan Ref.	Risk Description/Short Name	Owner
Chief Executive's	CCC21	<u>B2</u>	√	A3	10I.1	Risk that despite the new pay and grading scheme there are still outstanding equal pay claims against the Council.	Assistant Chief Executive
Chief Executive's	CCC22	<u>C3</u>		C3		Workforce management planning must provide the staff and competencies needed to meet service delivery requirements.	Assistant Chief Executive
Chief Executive's	CCC23	<u>C2</u>	√	C3	10J.7	ICT arrangements must deliver the service, business improvement and efficiencies required by the Council.	Assistant Chief Executive
Chief Executive's	CCC24	<u>C2</u>	√	D2	10E.1	Targets within the Single Outcome Agreement 2 must be achieved.	Chief Executive
Chief Executive's	CCC25	<u>A2</u>	√	D2		Council must respond effectively to the impact and implications of the recession.	Depute Chief Executive
Chief Executive's	CCC26	<u>D2</u>		D2		Delivery of the Programme for Highland Council must take account of the resources available to the Council.	Chief Executive
Chief Executive's	CCC27	<u>C3</u>		D3		Corporate Improvement Programme must deliver efficiencies and financial savings.	Assistant Chief Executive
Chief Executive's	CCC28	<u>C3</u>		D3		Caithness Heat & Power tendering exercise must reach a successful conclusion.	Chief Executive
TECS	CCC29	<u>C2</u>	√	D2		The Council requires to put effective mitigation measures in place to minimise the impact of Climate Change.	Director of TECS
Chief Executive's	CCC30	<u>D2</u>		D3		The Council must plan and prepare sufficiently for Best Value 2 Audit.	Assistant Chief Executive
Chief Executive's	CCC31	<u>C3</u>		D3		The Council must manage the Job Evaluation appeals process to minimise the effect on staff morale and productivity.	Assistant Chief Executive

