

RISK REGISTER

APPENDIX F

Service	Risk No or New Risk	Risk Rating (score)	Above the Line *	Target Risk Score	Progress/ Status R / A / G	Risk Description/Short Name	Vulnerability	Trigger	Consequences	Owner	Review Date (Planned)	Actual Review Date (TBC - To Be Confirmed)	Other Affected Service(s)
ECS	BC01	B2	Yes	D2	R	An inability to achieve the estimated saving of £1M through retaining services in house.	Lack of other options for savings	ECS Committee decision on 10 March rejects ALO recommendation	Further potential service cuts and / or facility closures	ECS	ECS Committee cycle	TBC	Corporate
ECS	BC02	C3	No	D3	A	Loss of opportunity to access funding from sources not open to Local Authorities through retaining services in house.	Reliance on Council core budgets	Decision not to move to an ALO	Missed opportunity to enhance or develop services provided	ECS	ECS Committee cycle	TBC	ECS
ECS	BC03	C3	No	D3	A	The missed opportunity to benefit from the input of business and community expertise under the management of a selected Board of Directors through retaining services in house.	No direct input of business or community expertise to decision making process	No evidence of service improvements or development	Missed opportunity to enhance or develop services provided	ECS	ECS Committee cycle	TBC	ECS
ECS	BC04	C3	No	D3	A	The missed opportunity to enhance the speed and flexibility of corporate decision making under an alternative management process through retaining services in house.	Stagnation in service quality and range	ECS Committee decision on 10 March rejects ALO recommendation	Missed opportunity to enhance or develop services provided	ECS	ECS Committee cycle	TBC	ECS
ECS	BC05	D2	No	E3	G	Failure of the ALO to achieve charitable status	Failure to act on advice of specialist legal and financial advisors	Application rejected by OSCR and HMRC	Inability to achieve estimated savings leading to further potential service cuts and / or facility closures	ALO	ECS Committee cycle	TBC	Corporate
ECS	BC06	D2	No	D2	G	Future changes to the legislation that the removes the NNDR saving attributable a charity	No control over political direction that could influence decision	Change to legislation	Inability to achieve estimated savings leading to further potential service cuts and / or facility closures	ALO	ECS Committee cycle	TBC	Corporate
ECS	BC07	D2	No	D3	A	Failure to establish an effective Board of Directors to manage the strategic direction of the ALO	Ineffective Board	Failure to meet service targets and to contribute to the corporate plan	ALO fails to contribute to Council's strategic aims	THC	ECS Committee cycle	TBC	Corporate
ECS	BC08	D2	No	D3	G	Loss of direct control of the delivery of CLL services	Perception of distance of Members from Services	Members issues not addressed	Poor working relationships with ALO	ECS	ECS Committee cycle	TBC	Corporate
ECS	BC09	D2	No	D3	A	Loss of direct control of the financial management of the delivery of CLL services	Members concern about following "The Public Pound"	ECS decision on 10 March	Time taken to build confidence in ALO	ECS	ECS Committee cycle	TBC	Corporate
ECS	BC10	D2	No	D3	A	Poor or ineffective working relationship between the Council and the ALO Board Directors	Lack of partnership approach	Missed opportunities to work in partnership	Missed opportunity for service development	ECS	ECS Committee cycle	TBC	Corporate
ECS	BC11	D2	No	E3	G	Poor service delivery by the ALO	Decrease in service quality	Customer and Member complaints, failure to meet service and income targets	Loss of use and income	ECS	ECS Committee cycle	TBC	ECS

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